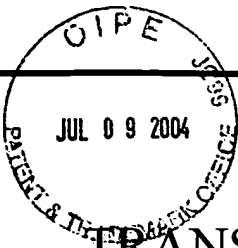


IF@



# TRANSMITTAL FORM

Application Serial Number	10/705,446
Filing Date	November 10, 2003
First Named Inventor	Horvath
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket No.	UDL-004D2
Patent No.	Not yet assigned
Issue Date	Not yet assigned

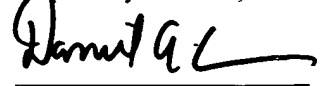
## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form  <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]  <input type="checkbox"/> Petition for Extension of Time  <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input checked="" type="checkbox"/> Form PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations B1-B5  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application  <input type="checkbox"/> Formal Drawing(s)  <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal  <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> CD(s) for large table or computer program  <input type="checkbox"/> Amendment After Allowance  <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences  <input type="checkbox"/> Appeal Brief (in triplicate)  <input type="checkbox"/> Status Inquiry  <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8  <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8  <input type="checkbox"/> Additional Enclosure(s) (please identify below)
---	---	---

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,  
  
 Daniel A. Wilson  
 Attorney for Applicants  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110

Date: July 7, 2004  
 Reg. No. 45,508  
 Tel. No.: (617) 248-7226  
 Fax No.: (617) 248-7100



PATENT  
Attorney Docket No. UDL-004D2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Horvath *et al.* CONFIRMATION NO.: 4873  
SERIAL NO: 10/705,446 GROUP NO.: Not yet assigned  
FILING DATE: November 10, 2003 EXAMINER: Not yet assigned  
TITLE: CERTAIN ALKYLENE DIAMINE-SUBSTITUTED  
HETEROCYCLES

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the foreign patent documents are enclosed.

In addition, Applicants wish to inform the Examiner about the following patents and patent applications, and the contents of their file wrappers, including all Office actions issued therein.

- 1) U.S.S.N. 09/676,970, entitled "Certain Alkylene Diamine-Substituted Pyrazolo [1,5-a]-1,3,5-Triazines," filed on September 29, 2000, and issued on April 16, 2002, as U.S. Patent No. 6,372,743 B1 (Reference A1).
- 2) U.S.S.N. 10/083,245, entitled "Certain Alkylene Diamine-Substituted Pyrazolo [1,5-a]-1,3,5-Triazines," filed on February 25, 2002, and published on April 10, 2003, as U.S. Application Publication No. 2003/0069246 (Reference A6).
- 3) U.S.S.N. 09/676,941, entitled "Certain Alkylene Diamine-Substituted Heterocycles," filed on September 29, 2000, and issued on January 14, 2003, as U.S. Patent No. 6,506,762 B1 (Reference A2).

- 4) U.S.S.N. 10/291,446, entitled "Certain Alkylene Diamine-Substituted Heterocycles," filed on November 8, 2002, and issued on February 24, 2004, as U.S. Patent No. 6,696,445 B2 (Reference A4).
- 5) U.S.S.N. 10/274,851, entitled "Substituted 2-Cyclohexyl-4-Phenyl-1H-Imidazole Derivatives," filed on October 21, 2002, and published on July 31, 2003, as U.S. Application Publication No. 2003/0144290 (Reference A7).
- 6) U.S.S.N. 10/415,457, entitled "Spiro[Isobenzofuran-1,4'-Piperidin]-3-Ones and 3H-Spiroisobenzofuran-1,4'-Piperidines," filed on April 9, 2003.
- 7) U.S.S.N. 09/771,956, entitled "Chimeric Neuropeptide Y Receptors," filed on January 29, 2001, and published on October 18, 2001, as U.S. Application Publication No. 2001/0031474 (Reference A5).
- 8) U.S.S.N. 10/013,846, entitled "Spiro[Isobenzofuran-1,4'-Piperidin]-3-Ones and 3H-Spiroisobenzofuran-1,4'-Piperidines," filed on December 11, 2001, and issued on May 20, 2003, as U.S. Patent No. 6,566,367 B2 (Reference A3).
- 9) U.S.S.N. 10/410,648, entitled "Spiro[Isobenzofuran-1,4'-Piperidin]-3-Ones and 3H-Spiroisobenzofuran-1,4'-Piperidines," filed on April 29, 2003, and published on April 15, 2004, as U.S. Application Publication No. 2004/0072847 (Reference A8).

### REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**

- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

#### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [**check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required**]:

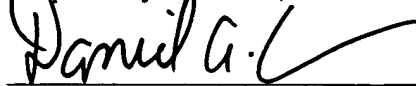
- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: July 7, 2004  
Reg. No. 45,508

Tel. No.: (617) 248-7226  
Fax No.: (617) 248-7100

3088427-1

Respectfully submitted,



Daniel A. Wilson  
Attorney for the Applicants  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110



<b>FORM PTO - 1449</b>				<b>ATTORNEY DOCKET NO.: UDL-004D2</b>					
<b>INFORMATION DISCLOSURE STATEMENT</b>				<b>APPLICANT(S): Horvath <i>et al.</i></b>					
				<b>SERIAL NO.: 10/705,446</b>					
				<b>FILING DATE: November 10, 2003</b>					
				<b>GROUP NO.: Not yet assigned</b>					
<b>U.S. PATENT DOCUMENTS</b>									
<b>EXAM. INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUB CLASS</b>	<b>FILING DATE IF APPROPRIATE</b>		
	A1	6,372,743 B1	04/16/2002	Darrow <i>et al.</i>			09/29/2000		
	A2	6,506,762 B1	01/14/2003	Horvath <i>et al.</i>			09/29/2000		
	A3	6,566,367 B2	05/20/2003	Bakthavatchalam <i>et al.</i>			12/11/2001		
	A4	6,696,445 B2	02/24/2004	Horvath <i>et al.</i>			11/08/2002		
	A5	2001/0031474 A1	10/18/2001	Kinrade <i>et al.</i>			01/29/2001		
	A6	2003/0069246 A1	04/10/2003	Darrow <i>et al.</i>			02/25/2002		
	A7	2003/0144290 A1	07/31/2003	Blum <i>et al.</i>			10/21/2002		
	A8	2004/0072847 A1	04/15/2004	Bakthavatchalam <i>et al.</i>			04/09/2003		
<b>FOREIGN PATENT DOCUMENTS</b>									
<b>EXAM. INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY CODE</b>	<b>CLASS</b>	<b>SUB CLASS</b>	<b>FILING DATE</b>	<b>ABSTRACT ONLY</b>	<b>ENGLISH LANG (Y/N)</b>
	B1	WO01/23387	04/05/2001	PCT				N	Y
	B2	WO01/23389	04/05/2001	PCT				N	Y
	B3	WO01/55103	08/02/2001	PCT				N	Y
	B4	WO02/48152	06/20/2002	PCT				N	Y
	B5	WO03/104255	12/18/2003	PCT				N	Y
<b>OTHER ART, JOURNAL ARTICLES, ETC.</b>									
<b>EXAM. INIT.</b>	<b>OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)</b>								
<b>EXAMINER</b>					<b>DATE CONSIDERED</b>				




PATENT  
Attorney Docket No. UDL-004D2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Horvath *et al.* CONFIRMATION NO.: 4873  
SERIAL NO: 10/705,446 GROUP NO.: Not yet assigned  
FILING DATE: November 10, 2003 EXAMINER: Not yet assigned  
TITLE: CERTAIN ALKYLENE DIAMINE-SUBSTITUTED HETEROCYCLES

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 7<sup>th</sup> day of July, 2004.

  
Lisa Marie Solis

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith are:

1. Transmittal Form (1 page);
2. Supplemental Information Disclosure Statement (3 pages); and
3. Form PTO-1449 (1 page);
4. Copy of cited references B1-B5; and
5. A Return Receipt Postcard.